

COPY OF PAPERS
ORIGINALLY FILED

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/053,238	10/29/2001	Kazuhiko Honda	P 027 7021 H7643US

08/15/2002 Gwordof/ 00000032 10053238

CONFIRMATION NO. 8692

FORMALITIES LETTER



OC000000007597761

 Pillsbury Winthrop LLP
 Intellectual Property Group
 Suite 2800
 725 South Figueroa Street
 Los Angeles, CA 90017-5406

 01 FC:101
 02 FC:102
 03 FC:103
 04 FC:105

 740.00 DP
 168.00 DP
 162.00 DP
 130.00 DP

Date Mailed: 03/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$330.
 - \$162 for 9 total claims over 20.
 - \$168 for 2 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1200.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

2002-03-07 09:00:00

- Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

*A copy of this notice **MUST** be returned with the reply.*

Mulhemebet h. bet

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

20140903 09:25:03



COPY OF PAPERS
ORIGINALLY FILED

PATENT
51270-277021

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Kazuhiko HONDA, et al.

Serial No.: 10/053,238

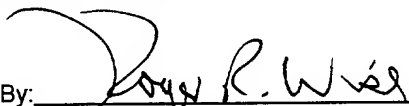
Filed: October 29, 2001

For: **METHOD OF PRINTING LABEL ON OPTICAL
DISK, OPTICAL DISK UNIT, AND OPTICAL DISK**

Art Unit: 2872

Examiner: Not yet assigned

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Customer Service Center, Initial Patent Examination Division, Assistant Commissioner for Patents, Washington D.C. 20231, on August 6, 2002.

By: 
Roger R. Wise, Reg. No. 31,204

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Customer Service Center
Initial Patent Examination Division
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date Granted," dated March 7, 2002, response to which was due May 7, 2002, enclosed are the following:

- ☒ A copy of the "Notice to File Missing Parts of Application Filing Date Granted".
- ☒ A "Declaration and Power of Attorney" executed by the inventor(s).
- ☒ An "Assignment" document executed by the inventor(s), along with an Assignment Recordation Cover Sheet and a check in the amount of \$40.00.

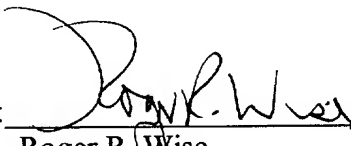
- X A check in the amount of \$740.00 to cover the filing fee.
- X A check in the amount of \$162.00 to cover the fee for nine (9) claim in excess of twenty.
- X A check in the amount of \$168.00 to cover the fee for two (2) independent claims in excess of three.
- X A check in the amount of \$130.00 to cover the surcharge for late Declaration.
- X A Petition for extension of time to respond to the Notice of Missing Parts for three (3) months.
- X A check in the amount of \$920.00 to cover the petition fee for extension of time for three (3) months.
- X Fifteen (15) sheets of formal drawings (Figures 1 to 19) with appropriate margins as required in the Notice of Missing Parts.

The Commissioner is hereby authorized to charge any deficiency in payment or credit any overpayment in connection with this matter to our Deposit Account No. 16-1805. A copy of this letter is enclosed.

Respectfully submitted,

PILLSBURY WINTHROP LLP

Dated: August 6, 2002

By: 
Roger R. Wise
Registration No. 31,204
Attorney for Applicant(s)

725 South Figueroa Street, Suite 2800
Los Angeles, CA 90017-5406
Telephone: (213) 488-7100
Facsimile: (213) 629-1033